United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/781,338

2040 MAIN STREET

FOURTEENTH FLOOR IRVINE. CA 92614

20995

02/17/2004

Roger Thorpe

ISTOR.013A

CONFIRMATION NO. 9429

FORMALITIES LETTER

OC000000012699828

Date Mailed: 05/18/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

KNOBBE MARTENS OLSON & BEAR LLP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$196 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$646 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

07/20/2004 ANONDAF1 00000065 10781338

01 FC:2001 385.00 (02 FC:2051 65.00 (03 FC:2201 43.00 (04 FC:2202 153.00 (

- Total additional claim fee(s) for this application is \$196
 - \$43 for 1 independent claims over 3.
 - \$153 for 17 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Case Docket No. ISTOR.013A

Date: July 16, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Roger Thorpe et al

App. No.

10/781,338

Filed

February 17, 2004

For

HARDWARE-

ACCELERATED HIGH

AVAILABILITY

INTEGRATED NETWORKED

STORAGE SYSTEM

Group Art Unit:

2143

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 16, 2004

(Date)

Ted M. Cannon, Reg. No. 55,036

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on May 18, 2004, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

Case Docket No. ISTOR.013A

Date: July 16, 2004

\$ 153
\$ 43
\$ + 65
\$ 646

- (X) A check in the amount of \$646 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

Ted M. Cannon

Registration No. 55,036

Attorney of Record

Customer No. 20,995

(949) 760-0404

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